



# LIST OF INFORMATION DISCLOSED BY APPLICANT

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| ATTY. DOCKET NO.<br>16313-0030               | SERIAL NO.<br>09/828,303 | FILING DATE<br>April 6, 2001 |
| APPLICANT<br>Oswaldo da Costa e Silva et al. |                          | GROUP<br>1645                |

## U.S. PATENT DOCUMENTS

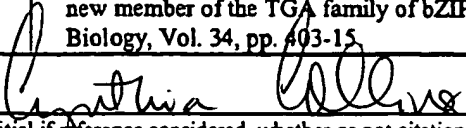
| EXAMINER<br>INITIAL |    | DOCUMENT NUMBER | DATE    | NAME                  | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
|---------------------|----|-----------------|---------|-----------------------|-------|----------|-------------------------------|
| CC                  | AA | 5,981,729       | 11-9-99 | Chun Jong-Yoon et al. | 536   | 23.6     |                               |
|                     | AB |                 |         |                       |       |          |                               |
|                     | AC |                 |         |                       |       |          |                               |
|                     | AD |                 |         |                       |       |          |                               |
|                     | AE |                 |         |                       |       |          |                               |
|                     | AF |                 |         |                       |       |          |                               |
|                     | AG |                 |         |                       |       |          |                               |
|                     | AH |                 |         |                       |       |          |                               |
|                     | AI |                 |         |                       |       |          |                               |
|                     | AJ |                 |         |                       |       |          |                               |
|                     | AK |                 |         |                       |       |          |                               |

## FOREIGN PATENT DOCUMENTS

|    |    | DOCUMENT NUMBER | DATE    | COUNTRY | NAME                  | TRANSLATION<br>YES NO. |
|----|----|-----------------|---------|---------|-----------------------|------------------------|
| CC | AL | WO 99/38977     | 8-5-99  |         | Stockinger, E. et al. |                        |
| CC | AM | WO 00/53741     | 9-14-00 |         | Zhang, Yuelin et al.  |                        |
|    | AN |                 |         |         |                       |                        |

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

|    |    |  |
|----|----|--|
| CC | AP | Babiychuk, Elena et al., "Arabidopsis thaliana NADPH Oxidoreductase Homologs Confer Tolerance of Yeasts toward the Thiol-oxidizing Drug Diamide", 1995, The Journal of Biological Chemistry, Vol. 270, No. 44, pp. 26224-31. |
|    | AQ | Bharti, Kapil et al., "Isolation and characterization of HsfA3, a new heat stress transcription factor of <i>Lycopersicon peruvianum</i> ", 2000, The Plant Journal, Vol. 22, No. 4, pp. 355-65.                             |
|    | AR | Choisne, N. et al., "Arabidopsis thaliana chromosome 3, BAC clone T8P19", Accession Number AL133315, December 2, 1999.   |
|    | AS | Jaglo-Ottosen, Kirsten et al., "Arabidopsis CBF1 Overexpression Induces COR Genes and Enhances Freezing Tolerance", 1998, Science, Vol. 280, 104-6.  |
|    | AT | Kasuga, Mie et al., "Improving plant drought, salt, and freezing tolerance by gene transfer of a single stress-inducible transcription factor", 1999, Nature Biotechnology, Vol. 17, pp.287-91.                              |
|    | AU | Quatrano, R. et al., "ga02b09.y1 Moss EST library", Accession Number AW098572, October 20, 1999.   |
| ✓  | AV | Quatrano, R. et al., "ga31e07.y1 Moss EST library", Accession Number AW145337, November 2, 1999.   |

| OTHER REFERENCES CONTINUED (Including Author, Title, Date, Pertinent Pages, Etc.)  |    |  |
|--|----|--|
| CC   | AW | Quatrano, R. et al., "gb19f04.y1 Moss EST library," Accession Number AW699069, April 19, 2000.   |
|  | AX | Sakakibara, K. et al., "Homeobox Protein PPHB7", Accession Number Q9LS31, October 1, 2000.   |
|  | AY | Sakakibara, K. et al., "Physcomitrella patens mRNA for homeobox protein PpHB7," Accession Number AB028078, April 4, 2000.  |
|  | AZ | Winicov, Ilga and Bastola, Dhundy R., "Transgenic Overexpression of the Transcription Factor <i>Alfin1</i> Enhances Expression of the Endogenous <i>MsPRP2</i> Gene in Alfalfa and Improves Salinity Tolerance of the Plants", 1999, Plant Physiology, Vol. 120, pp. 473-80. |
|  | BA | Xiang, Chengbin et al., "DNA-binding properties, genomic organization and expression pattern of TGA6, a new member of the TGA family of bZIP transcription factors in <i>Arabidopsis thaliana</i> ", 1997, Plant Molecular Biology, Vol. 34, pp. 403-15.                     |
| EXAMINER   |    | DATE CONSIDERED  |
|   |    | 1/30/06  |
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|---------------------|----|-----------------|------|------|-------|----------|-------------------------------|
|                     | AA |                 |      |      |       |          |                               |
|                     | AB |                 |      |      |       |          |                               |
|                     | AC |                 |      |      |       |          |                               |
|                     | AD |                 |      |      |       |          |                               |
|                     | AE |                 |      |      |       |          |                               |
|                     | AF |                 |      |      |       |          |                               |
|                     | AG |                 |      |      |       |          |                               |
|                     | AH |                 |      |      |       |          |                               |
|                     | AI |                 |      |      |       |          |                               |
|                     | AJ |                 |      |      |       |          |                               |
|                     | AK |                 |      |      |       |          |                               |

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|--|----|-----------------|------|---------|------|-------------|----|
|  |    |                 |      |         |      | YES         | NO |
|  | AL |                 |      |         |      |             |    |
|  | AM |                 |      |         |      |             |    |
|  | AN |                 |      |         |      |             |    |

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

|    |    |   |
|----|----|---|
| ec | AP | Jaglo-Ottosen, K.R. et al., "Arabidopsis CBF1 Overexpression Induces COR Genes and Enhances Freezing Tolerance", <i>Science</i> , 280:104-106, 1998;  |
| ↓  | AQ | Kasuga, M. et al., "Improving Plant Drought, Salt, and Freezing Tolerance by Gene Transfer of a Single Stress-Inducible Transcription Factor", <i>Nature Biotech</i> , 17:287-291, 1999;  |
| ↓  | AR | Shinozaki, K. and Yanaguchi-Shinozaki, K., "Molecular Responses to Dehydration and Low Temperature: Differences and Cross-Talk Between Two Stress Signaling Pathways", <i>Current Opinion in Plant Biology</i> , 3:217-223, 2000; |
|    | AS |   |

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